

# Health IT for Pediatric Care and Practice Settings

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## **Section 4001 of the 21st Century Cures Act**

Section 4001(b) of the Cures Act includes two provisions related to supporting health IT across the care continuum.

- The first instructs the National Coordinator to encourage, keep, or recognize through existing authorities the voluntary certification of health IT for use in medical specialties and sites of service where more technological advancement or integration is needed.
- ➤ The second outlines a provision related to the voluntary certification of health IT for use by pediatric health providers to support the health care of children.





## **ONC Three Part Approach**

# ONC's Approach For Identifying Health IT Certification Criteria and Standards To Support Multiple Care And Practice Settings, Including The Pediatric Care And Practice Settings





Analyze certification criteria in the ONC Health IT Certification Program to ensure broad applicability to multiple medical specialties and sites of service.



Focus on the real-time evaluation of standards to determine applicability to medical specialties and sites of service as well as to the broader care continuum, focusing on existing and emerging standards for inclusion in the <u>ONC Interoperability</u> Standards Advisory (ISA).







Work in collaboration with stakeholders to support the development of resources for medical specialties and sites of service for which ONC identifies a need to advance the effective implementation of certified health IT.





## **Health IT for Pediatric Care and Practice Settings**

In response to the requirements set forth in the Cures Act, ONC has



- Developed ten recommendations for the voluntary certification of health IT for pediatric care that does NOT include a separate certification program for pediatric care and practice settings.
- Identified current and proposed new 2015 Edition certification criteria that support pediatric care and practice settings.
  - Focused on non-regulatory initiatives that are nimble and responsive to stakeholders, including development of informational resources to support setting-specific implementation that aligns with the ONC Health IT Certification Program.

We clarified in our final rule that we did not propose to adopt care- or practice-specific certification tracks, or additional program(s), in parallel to the existing voluntary ONC Health IT Certification Program.





## **ONC Stakeholder Collaboration**

# ONC Developed Recommendations Based on Stakeholder-Identified Clinical Priorities and the Children's EHR Format



Pediatric stakeholders identified clinical priorities and evaluated them with ONC.



**Access Children's EHR Format Here** 







### **ONC Recommendations**

### **ONC Recommendations for Health IT Supporting Pediatric Care**

- Use biometric-specific norms for growth curves and support growth charts for children
- 2. Compute weight-based drug dosage
- 3. Ability to document all guardians and caregivers
- 4. Segmented access to information
- 5. Synchronize immunization histories with registries

- 6. Age- and weight-specific single dose range checking
- 7. Transferrable access authority
- 8. Associate mother's demographics with newborn
- Track incomplete preventative care opportunities
- 10. Flag special health care needs





## **ONC Recommendations - Technical Analysis**

Our collaborative technical analysis involved engagement with stakeholders including through listening sessions, subject matter expert review panels, and the Federal Health IT Advisory Committee task force members.

#### Appendix: Pediatric Technical Worksheets

These worksheets contain information on how each recommendation corresponds to the Children's EHR Format and to the existing or proposed new ONC certification criteria. We invite readers to use these worksheets to inform public comment on the recommendations, the inclusion of specific items from the Children's EHR Format<sup>183</sup>, and the identified certification criteria as they relate specifically to use cases for pedatric care and ites of service.

We welcome public comment on the identified certification criteria for each recommendation.

Specifically, we seek comment for each recommendation on the following four broad questions

- QL. What relevant gaps, barriers, safety concerns, and/or resources (including available best practices, activities, and tools) may impact or support feasibility of the recommendation in practice?
- Q2. How can the effective use of Π support each recommendation as involves provide training, establishing workflow, and other related safety and usability considerations?
- Q3. Should any of the recommendations <u>not</u> be included
- Q4. Should any of the functional criteria listed under the "Alignment with 2015 Edition Certification Criteria" and the "Alignment with Proposed New or Updated Certification Criteria" be removed as a correlated item to support any of the recommendations?

Commenters are encouraged to reference the specific recommendation number (110) with the corresponding question number in their response. For example, "Recommendation 1. Q3." Commenters are highly encouraged to use the template ONC has created to support public comment on the proposed rule.

https://healthit.ahrq.gov/health-it-tools-and-resources/pediatric-resources/childrens-electronic-health-record-ehrformat

### Recommendation 1: Use biometric-specific norms for growth curves and support growth charts for children

#### Alignment with Children's EHR Format

Stakeholders identified alignment with the Children's EHR Format Requirement as follows

Title: Use biometric-specific norms for growth curves

Children's EHR Format: Req-2044 - Release Package 2015 Priority List Topic(s): Primary Care Management, Well Child/Preventive Care

Description: The system shall include the ability to use pediatric age-specific norms for weight, height/length, head circumference, and BMI to calculate and display growth percentiles and plot them over time on standardized Centers for Disease Control and Prevention/World Health Organizations (CDC/WHO) growth curves as appropriate.

#### Alignment with 2015 Edition Certification Criteria

ONC believes this recommendation is supported by the 2015 Edition definition and criteria listed below:

- Common Clinical Data Set\* (CCDS) including optional pediatric vital sign data elements with the reference range/scale or growth curve for BMI percentile per age and sex for youth 2-20 years of age, weight for age per length and sex for children less than three years of age, and head occipital-frontal circumference for children less than three years of age.
- <u>Demographic</u> criterion requires the ability to record birth sex in accordance with HL7 Version 3 ("Administrative Gender") and a null flavor value attributed as follows: male (M); female (F); and unknown (UNK).
- Clinical Decision Support (CDS) can be used to develop a variety of tools to
  enhance decision-making in the pediatric clinical workflow including
  contextually relevant reference information, clinical guidelines, conditionspecific order sets, alerts, an reminders, among other tools.
- Application Programming Interfaces criteria including the "application accesspatient selection", "application access-data category request", and "application access-all data request" which can help address many of the challenges currently faced by caregivers accessing pediatric health data.

### Alignment with Proposed New or Updated Certification Criteria

ONC believes this recommendation is supported by the proposed new and updated certification criteria in this proposed rule:

 United States Core Data for Interoperability (USCDI): The USCDI (§ 170.213) which enables the inclusion of pediatric vital sign data elements, including the reference



The ONC Cures Act NPRM also included the technical analysis as an appendix to allow the public to review and submit public comment during the comment period on the proposed rule.





## **ONC Recommendations - Technical Analysis**

### **Health IT for Pediatric Care and Practice Settings**

In response to the requirements set for in section 4001 of the Cures Act, ONC ha

- Developed ten recommendations for the v certification of health IT for pediatric care t separate certification program for pediatric
- 2 Identified current and proposed new 2015 that support pediatric care and practice se
- Focused on non-regulatory initiatives that to stakeholders, including development of to support setting-specific implementation Health IT Certification Program.

### Health IT for Pediatric Care and Practice Settings

ONC DEVELOPED RECOMMENDATIONS BASED ON STAKEHOLDER-IDENTIFIED CLINICAL PRIORITIES AND THE CHILDREN'S EHR FORMAT



Pediatric stakeholders identified clinical priorities and evaluated them with ONC.



Access Children's EHR Format Here

- ONC
  RECOMMENDATIONS
  FOR PEDIATRIC HEALTH
  IT VOLUNTARY
  CERTIFICATION
  CRITERIA
- Use biometric specific norms for growth curves and support growth charts for children
- Compute weight-based drug dosage
- 3. Ability to document all guardians and caregivers
- Segmented access to information
- Synchronize immunication histories with registries

- Age- and weight-specific single dose range checking
- 7. Transferrable access authority
- 8. Associate mother's demographics with newborn
- Track incomplete preventative care opportunities.
- Flag special health care needs

### ONC CERTIFICATION CRITERIA TO SUPPORT PEDIATRIC CARE AND PRACTICE SETTINGS

### **CURRENT 2015 EDITION CRITERIA:**

- · Transitions of Care
- Care Plan
- View, Download, Transmit
- Application Programming Interface (API)
- Data Segmentation for Privacy.
- Problem List.
- Electronic Prescribing
- Common Clinical Data Set (CCDS)

- Social, Psychological, and Behavioral Data
- Clinical Quality Measure (CQM)
- Clinical Decision Support
- Immunizations
- Demographic data capture
- Family health history
- Patient health data capture
- Privacy and security

### PROPOSED NEW 2015 EDITION CRITERIA:

- United States Core Data Set for Interoperability (USCDI)
- Electronic prescribing
- FHIR-based API
- Data segmentation for privacy

https://www.healthit.gov/NPRM





## **ONC Recommendations - Technical Analysis**

Relevant gaps, barriers, safety concerns, and resources (including available best practices, activities, and tools) that may impact or support feasibility of the recommendation in practice.

Effective use of health IT itself in support of each recommendation as it relates to provider training, establishing workflows, and other related safety and usability considerations.



This enabled ONC to confirm all ten recommendations and the criteria identified as aligned with each.

- √ 10 Recommendations &
- ✓ Correlated Certification Criteria





## **ONC Certification Criteria to Support Pediatric Care and Practice Settings**

## **2015 Edition Cures Update**

- Application Programming Interface (API)
- Care Plan
- Clinical Decision Support
- Clinical Quality Measure (CQM)
- Demographics
- Electronic Prescribing
- Family health history
- **Immunizations**
- Patient health data capture
- Privacy and security
- Security Tags Send and Receive
- Social, Psychological, and Behavorial Data

- Transitions of Care
- United States Core Data Set for Interoperability (USCDI)
- View, Download, Transmit

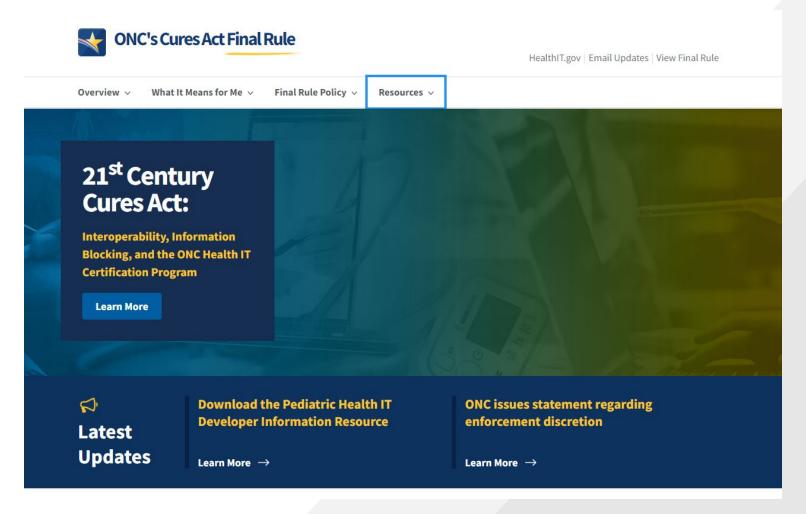




## ONC Certification Criteria to Support Pediatric Care and Practice Settings – Cures Rule

### **Health IT for the Care Continuum**

www.HealthIT.gov/CuresRule







## Pediatric Health IT Developer Informational Resource

## The Office of the National Coordinator for Health Information Technology

## Pediatric Health IT and ONC Informational Resource (IR)



ONC developed an **Informational Resource (IR)** to help inform the technical and implementation specifications for developers of health IT products used by clinicians that provide health care for children.

- ONC's ten recommendations for health IT for pediatric care
- Relevant criteria within the ONC Health IT Certification Program
- Other technical information to support the implementation of health IT products for the safe and effective health care of children





## Pediatric Health IT: Developer Informational Resource (IR)

- This IR is targeted to developers.
- A second related project is underway to create a tool for providers seeking to use the IR in working with their health IT developers
- Our goal with the next project is to create a userfriendly guide to the technical and implementation specifications for health care clinicians that is a companion to this developer IR aligned to the ten recommendations.





## The Developer Information Resource – Recommendation Sections

The Informational Resource describes the ten ONC recommendations for an EHR to support care of children. Each recommendation has the following sections:

- Description
- 2015 Edition Cures Update Certification Criteria Alignment
- Implementation Resources
  - References to HL7 Child Health Functional Profile requirements and technical standards that are relevant to the recommendation.
- Other Technical Resources and Tools
  - Reference implementations, technical papers, or applications applicable to the recommendation.

- Children's EHR Format Clinical Recommendations
  - Requirements from AHRQ
     Children's EHR Format, developed to document gaps between the functions present in most EHRs and functions that would more optimally support the care of children.
- Other Considerations







## Pediatric Health IT Developer IR Example





Age and sex-specific weight, height/length, head circumference, and body mass index (BMI) percentile measurements are important for assessing normal growth or changes in growth pattern, and problems with growth and development such as obesity, failure to thrive, and certain hormone disorders. User-friendly displays that provide longitudinal information with automatic calculation of growth patterns and comparison with normal velocity are critical components of an EHR supporting care of children, on par with vital signs.

This recommendation aligns with the following 2015 Edition Cures Update Criteria:	
Clinical Decision Support	§170.315(a)(9)
Common Clinical Data Set*	§170.315(b)(4), (5)
Demographics	§170.315(a)(5)
Application Access – Data Category Request*	§170.315(g)(8)
Standardized API for Patient and Population Services*	§170.315(g)(10)
USCDI*	§170.213

<sup>\*</sup> See the 21st Century Cures Act Final Rule and ONC guidance for information on effective dates, sunset dates, and other information on the certification criteria impacted by 2015 Edition Cures Update.





### **Implementation Resources**

- HL7 EHRS-FM Release 2 Functional Profile: Child Health Functional Profile, Release 1; Developmental Screening and Reporting Services Derived Profile, Release 1 - US Realm
  - The HL7 EHR System Function Model (EHRS-FM): Child Health Functional Profile Release 1; Developmental Screening and Reporting Services Derived Profile, Release 1 – US Realm identifies the critical EHR capabilities for pediatric developmental screening and reporting services. This standard references content and functions from the existing HL7 EHR-S Functional Model Release 2 and complements the HL7 Child Health Functional Profile Release 1.
    - Implementers should refer to section CP.3.1, "Conduct Assessments," protocol 7.
- HL7 FHIR Profile: Observation Vital Signs
  - This profile is referenced by the HL7 FHIR US Core Profile and defines a consistent vocabulary and syntax for vital signs interoperability. It sets the minimum expectations for primary vital signs and additional measurements such as height, weight, and BMI percentile.
  - The profile includes key elements for this recommendation:
    - Head Circumference Observation. This profile constrains the Observations-Vital Signs profile for the measurement of head circumference.
    - Body Height (supplemented with Body height lying [body length])
    - Body Weight

- HL7 FHIR US Core Profile: Pediatric BMI per Age Observation
  - This profile defines the minimum data required to record, search, and fetch pediatric BMI percentile per age and sex observations associated with a patient.
- HL7 FHIR US Core Profile: Pediatric Weight for Height
  - This profile defines the minimum data required to record, search, and fetch pediatric weight for height and age observations associated with a patient.

### USCDI

- United States Core Data for Interoperability (USCDI) supports data collection to enable growth charts and defines the following elements as required:
  - Body Height
  - Body Weight
  - Date of Birth
  - Birth Sex
  - BMI percentile (2–20 years of age)
  - Weight for length percentile (Birth to 36 months)
  - Head occipital-frontal circumference percentile (Birth to 36 months)





## Other Technical Resources and Tools

The following resources and tools are supplemental resources for implementing this recommendation:

- Boston Children's Hospital SMART Pediatric Growth Chart
  - <u>Substitutable Medical Applications, Reusable Technologies (SMART®)</u> on FHIR application that demonstrates a high-performance, concise, minimal-click presentation of a child's growth over time.
- SAS Program for the 2000 CDC Growth Charts Ages 0 to <20 Years</li>
  - Calculates percentiles and Z-scores (standard deviations) for a child's sex and age for BMI, weight, height, and head circumference based on the Centers for Disease Control and Prevention (CDC) growth charts.
- SAS Program for the WHO Growth Charts Ages 0 to <20 Years</li>
  - Calculates percentiles and Z-scores (standard deviations) for a child's sex and age from birth up to 2 years of age for BMI, weight, height, skinfold thickness (triceps and subscapular), arm circumference, and head circumference based on the World Health Organization (WHO) growth charts.

SMART<sup>TM</sup> and the SMART logos are trademarks of The Children's Medical Center Corporation.

- WHO Anthro R Package Ages 0 to 5 Years
  - Provides WHO child growth standards (Z-scores) with confidence intervals and standard errors around the prevalence estimates.
- CDC Growth Charts for Children with Down Syndrome
  - · Growth charts for children with Down syndrome, in PDF format.
- WHO Child Growth Standards
  - Documentation describing the sample and methods used to construct the standards and present growth charts.
- Fenton Preterm Growth Chart
  - Preterm growth chart applications supporting the WHO growth standard to reflect actual age instead of completed weeks.
- Olsen 2010 Growth Calculator for Preterm Infants
  - Web interface with data entry, used to report percentiles and Z-scores for preterm infants, with integrated gestational age calculator and decision support.

## Children's EHR Format Recommendations

This recommendation corresponds to the following requirements from the Children's EHR Format:

- Req-2009: Allow Unknown Patient Sex
- Req-2019: Record Gestational Age Assessment and Persist in the EHR
- Req-2042: Support Growth Charts for Children
- Req-2044: Use Biometric-Specific Norms for Growth Curves





### **Other Considerations**

The following considerations should be of interest to the developer community and represent opportunities for future growth in the implementation of the recommendation.

### **Technical**

- Further work is needed to appropriately identify provenance for the algorithm or standard used to present growth data compared to norms. Once identified, provenance should be displayed.
- There is a lack of standardized formats for growth chart data and calculations. Different standards and formats can add work or burden to implementers or users of implementations.

### **Technical and Clinical**

- Children's EHR Format Req-2045: Provide Alerts for Out-of-Range Biometric Data
  - Alerts or notifications of growth data that are outside of an established norm may be provided to inform a clinician evaluating a growth chart.

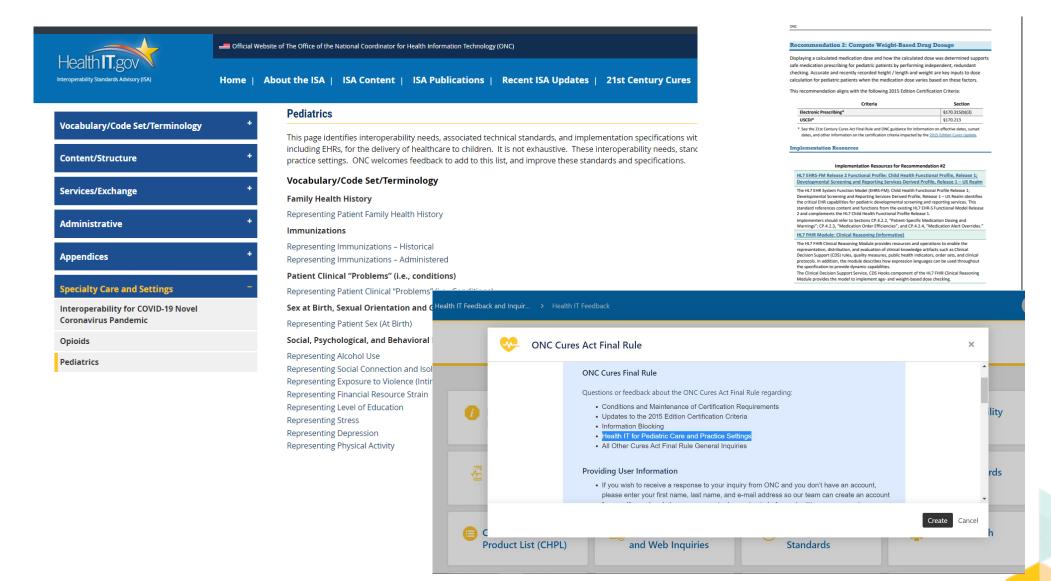
### **Financial**

Some growth charts (particularly for specific pediatric populations) require a license for access/use.
 These may be cost prohibitive and administratively burdensome to purchase.





## **Resources and Questions**





The Office of the National Coordinator for Health Information Technology

## **ONC Cures Rule**

www.HealthIT.gov/CuresRule



- Health IT Feedback Form: <a href="https://healthit.gov/feedback">https://healthit.gov/feedback</a>
- Twitter: @onc\_healthIT
- in LinkedIn: Search "Office of the National Coordinator for Health Information Technology"

**Elisabeth Myers, ONC, Office of Policy – Deputy Director** 





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